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† ADMITTED ONLY IN CALIFORNIA

PATENT APPLICATION

Docket No. 13587.37

PATENTS

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UNFAIR COMPETITION

LICENSING

COMPLEX LITIGATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL FOR PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled OPTICAL DOCUMENT AUTHENTICATION SYSTEM in the name of the following inventors: Trevor Merry, Ileana Buzuloiu, and Jack Sinclair.

Enclosed are the following:

- A specification, claims, and abstract in total comprising nineteen (19) pages.
- Three (3) sheets of drawings.
- A joint signature Declaration and Power of Attorney.
- Associate Power of Attorney and Direction for Forwarding Correspondence.
- An Assignment conveying the invention to Canadian Bank Note Company, Ltd., including a Form PTO 1595 recordation cover sheet.
- A Declaration Claiming Small Entity Status pursuant to 37 C.F.R. § 1.9 and § 1.27.

____ The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27

____ Enclosed is a non-publication request requesting that the present application not be published.

A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EV 319 223 691 US.

____ Priority to _____ (country) Application Serial No. _____ filed on _____ is claimed under 35 U.S.C. § 119.

____ A certified copy of foreign priority application Serial No. _____

____ An Associate Power of Attorney.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE				\$375		\$750
TOT. CLAIMS	47 - 20=	27	X 9=		X 18=	486
IND. CLAIMS	3 - 3=	0	X 42		X 84=	
MULTIPLE DEPENDENT CLAIM			+140=		+280=	
			TOTAL		TOTAL	1236

Form PTO-2038 submitting Credit Card Payment in the amount of \$1,276.00 is enclosed to cover:

The \$1,236.00 government filing fee.

The \$40.00 recordation fee of the enclosed assignment.

____ Please debit Deposit Account No. 23-3178 in the amount of _____ for the government filing fee.

____ Please debit Deposit Account No. 23-3178 in the amount of \$40.00 for recordation of the enclosed Assignment.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

Any additional filing fees required under 37 C.F.R. § 1.16.

Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Any patent application processing fees under 37 C.F.R. § 1.17.

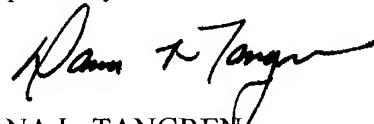
The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).

A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 23rd day of June 2003.

Respectfully submitted,



DANA L. TANGREN
Attorney for Applicant
Registration No. 37,246



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PATENT TRADEMARK OFFICE

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"Express Mail" Mailing Label No.: EV 319 223 691 US

Date of Deposit: June 23, 2003

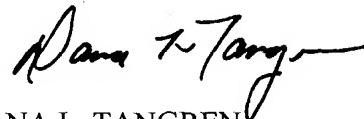
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Transmittal Letter (3 pages) (in duplicate)
- Assignment with Form PTO-1595 cover sheet (3 pages)
- Declaration and Power of Attorney (2 pages)
- Associate Power of Attorney and Direction for Forwarding Correspondence (1 page)
- Patent Application in the names of Trevor Merry, Ileana Buzuloiu, and Jack Sinclair for OPTICAL DOCUMENT AUTHENTICATION SYSTEM (19 pages)
- Drawings (3 sheets)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$1,276.00
- Postcard

Dated this 23rd day of June 2003.

Respectfully submitted,



DANA L. TANGREN
Attorney for Applicant
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